



# PATENT

Case Docket No. UOH.001A

Date: August 27, 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Tang, et al.  
App. No. : 10/821,806  
Filed : April 9, 2004  
For : FLOATING PLANT  
CULTIVATION PLATFORM  
AND METHOD FOR  
GROWING TERRESTRIAL  
PLANTS IN SALINE WATER  
OF VARIOUS SALINITIES  
FOR MULTIPLE PURPOSES

Group Art Unit : 1661

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

August 27, 2004

(Date)

*Suzanne J. Jepson*  
Suzanne J. Jepson, Ph.D., Reg. No. 51,848

## TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: MAIL STOP MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f), which was mailed by the Office on June 29, 2004, enclosed are:

- (X) An executed Declaration by Inventors.
- (X) A Power of Attorney Form and Copy of Assignment.
- (X) A Notice to File Missing Parts.
- (X) Petition to Make Special.
- (X) Declaration of Inventor.
- (X) Ten (10) sheets of Formal Drawings.

Repln. Ref: 09/01/2004 SSANDARA 0012422800  
DA# 111410 Name/Number: 10821806  
FC: 9204 \$655.00 CR

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- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with thirty-six (36) references.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:

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|            |         |
|------------|---------|
| FILING FEE | \$ 1840 |
|------------|---------|

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|                          |          |
|--------------------------|----------|
| SURCHARGE 37 CFR 1.16(e) | \$ + 130 |
|--------------------------|----------|

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|                             |         |
|-----------------------------|---------|
| TOTAL OF ABOVE CALCULATIONS | \$ 1970 |
|-----------------------------|---------|

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REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY:

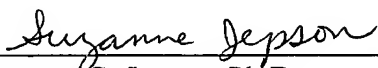
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 985

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|                               |        |
|-------------------------------|--------|
| TOTAL FEES SUBMITTED HERewith | \$ 985 |
|-------------------------------|--------|

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- (X) A check in the amount of \$985 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
\_\_\_\_\_  
Suzanne G. Jepson, Ph.D.  
Registration No. 51,848  
Agent of Record  
Customer No. 20,995  
(619) 235-8550



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/821,806         | 04/09/2004             | Chung-Shih Tang       | UOH.001A               |

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
2040 MAIN STREET  
FOURTEENTH FLOOR  
IRVINE, CA 92614



CONFIRMATION NO. 9054  
FORMALITIES LETTER  
\*OC000000013097059\*  
\*OC000000013097059\*

Date Mailed: 06/29/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/31/2004 BABRAHA1 00000081 10821806

|            |           |
|------------|-----------|
| 01 FC:2001 | 385.00 OP |
| 02 FC:2051 | 65.00 OP  |
| 03 FC:2202 | 234.00 OP |
| 04 FC:2201 | 301.00 OP |

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 4a,4b,5a-5c,6a,6b,7,8a,8b9a,9b.

The applicant needs to satisfy supplemental fees problems indicated below.

Adjustment date: 09/01/2004 - BABRAHA1  
08/31/2004 BABRAHA1 00000081 10821806  
01 FC:2001 -385.00 OP

09/01/2004 SSANDARA 00000001 10821806

265.00 OP  
65.00 OP01 FC:2003  
02 FC:2051

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$1070** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**


Total additional fee(s) required for this application is **\$1970** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1070**
  - **\$602** for **7** independent claims over 3.
  - **\$468** for **26** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE